Attorney Docket No. <u>1034448-000007</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Patent Application of |) Group Art Unit: 3766 |
|---|--------------------------------|
| Mark P. Anstadt et al. |) Examiner: Frances P. Oropeza |
| Application No.: 10/607,434 | Confirmation No.: 5213 |
| Filing Date: June 26, 2003 |) . |
| Title: SENSOR-EQUIPPED AND ALGORITHM CONTROLLED DIRECT MECHANICAL VENTRICULAR ASSIST DEVICE |))) |

SUPPLEMENTAL NINTH INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

| PTO- | Enclosed is a Ninth Informat 1449 for the above-identified p | ion Disclosure Statement (IDS) and accompanying form patent application. |
|-------------|---|---|
| | No additional fee for submis | sion of an IDS is required. |
| \boxtimes | The fee of \$ 180 as set forth | in 37 C.F.R. § 1.17(p) is also enclosed. |
| | A statement under 37 C.F.R | . § 1.97(e) is also enclosed. |
| | A statement under 37 C.F.R 37 C.F.R. § 1.17(p) are also | . § 1.97(e), and the fee of \$ 180 as set forth in enclosed. |
| | Charge to | Deposit Account No. 02-4800 for the fee due. |
| | A check in the amount of | is enclosed for the fee due. |
| \boxtimes | Charge \$ 180 to credit card to | for the fee due. Form PTO-2038 is attached. |
| \boxtimes | 37 C.F.R. §§ 1.16, 1.17 and | rized to charge any appropriate fees under 1.21 that may be required by this paper, and to credit Account No. 02-4800. This paper is submitted in |
| | | Respectfully submitted, |
| Date | March 14, 2007 | BUCHANAN INGERSOLL & ROONEY PC By: Edward A. Brown Registration No. 35033 |
| | | |

P.O. Box 1404 Alexandria, VA 22313-1404 703 836 6620



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Mark P. Anstadt et al.

Application No.: 10/607,434

Filed: June 26, 2003

For: SENSOR-EQUIPPED AND
ALGORITHM CONTROLLED DIRECT
MECHANICAL VENTRICULAR ASSIST
DEVICE

O Group Art Unit: 3766

Examiner: Frances P. Oropeza

Confirmation No.: 5213

SUPPLEMENTAL NINTH INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

The document is being submitted after a first Office Action on the merits but prior to the closing of prosecution, therefore under 37 C.F.R. § 1.97(c), the fee set forth in 37 C.F.R. § 1.17(p) is enclosed.

In the Information Disclosure Statement filed on March 12, 2007, the undersigned included a statement under 37 C.F.R. § 1.97(c). Upon further review, it has been determined that the statement was incorrectly made. Accordingly, the above-mentioned fee is enclosed.

To assist the Examiner, the document is listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

03/15/2007 HKARZII 00000051 10607434 01 FC:1806 180.03 DP

NINTH Information Disclosure Statement Application No. <u>10/607,434</u> Attorney's Docket No. <u>1034448-000007</u> Page 2

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. § 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No.02-4800. This paper is submitted in duplicate.

By:

Respectfully submitted,

BUCHANAN INGERSOLL & ROONEY PC

Date: March 14, 2007

Edward A. Brown

Registration No. 35033

P.O. Box 1404 Alexandria, VA 22313-1404 703 836 6620 Substitute for form 1449/PTO & 1449B/PTO

NINTH INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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| Sheet | 1 | of | 1 | |

| | Complete if Known | OIPE |
|----------------------|------------------------|--------------|
| Application Number | 10/607,434 | 3007 |
| Filing Date | June 26, 2003 | MAR 1 4 2007 |
| First Named Inventor | Mark P. Anstadt et al. | (A) |
| Examiner Name | Frances P. Oropeza | TRANSAME |
| Attorney Docket No. | 1034448-000007 | THEFT |

| | U.S. PATENT DOCUMENTS | | | | | | |
|----------------------|-----------------------|-------------------------|--|--|--|--|--|
| Examiner Initials | Document Number | Kind Code (if known) | | | | | |
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| | STATUS | | | | | | | | | | |
| Examiner Initials | Document Number | Kind Code (if known) | Country | Date of Publication (MM-DD-YYYY) | Translation | Partial Translation | Eng. Lang. Summary | Search Report | IPER | Abstract | Cited in Spec |
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| NON-PATENT LITERATURE DOCUMENTS | | | | | | |
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| Examiner Initials | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | | | |
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| Examiner | Date | | |
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| Signature | Considered | 5200-2-0-7-3 | |